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213/2785
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PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/540,614
		Filing Date	March 31, 2000
		First Named Inventor	David W. Grawrock
		Group Art Unit	2785
		Examiner Name	
Total Number of Pages in This Submission	17	Attorney Docket Number	42390P8084

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	January 25, 2001

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: January 25, 2001			
Typed or printed name	Corrinn R. Reynolds		
Signature		Date	January 25, 2001

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PTO/SB/17 (12/99)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Complete if Known

Application No.	09/540,614
Filing Date	March 31, 2000
First Named Inventor	David W. Grawrock
Examiner Name	
Group/Art Unit	2785
Attorney Docket Number	42390P8084

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TOTAL AMOUNT OF PAYMENT (\$) 180.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code 101	Fee Code 201	Utility filing fee	710 / 355
Fee Code 106	Fee Code 206	Design filing fee	320 / 160
Fee Code 107	Fee Code 207	Plant filing fee	490 / 245
Fee Code 108	Fee Code 208	Reissue filing fee	710 / 355
Fee Code 114	Fee Code 214	Provisional filing fee	150 / 75

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code 103	Fee Code 203	Claims in excess of 20	18 / 9
Fee Code 102	Fee Code 202	Independent claims in excess of 3	80 / 40
Fee Code 104	Fee Code 204	Multiple Dependent claim, if not paid	260 / 135
Fee Code 109	Fee Code 209	**Reissue independent claims over original patent	80 / 40
Fee Code 110	Fee Code 210	**Reissue claims in excess of 20 and over original patent	18 / 9

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code 105	Fee Code 205	Surcharge - late filing fee or oath	130 / 65
Fee Code 127	Fee Code 227	Surcharge - late provisional filing fee or cover sheet	50 / 25
Fee Code 139	Fee Code 139	Non-English specification	130 / 130
Fee Code 147	Fee Code 147	For filing a request for reexamination	2,520 / 2,520
Fee Code 112	Fee Code 920*	*Requesting publication of SIR prior to Examiner action	920 / 920
Fee Code 113	Fee Code 113	*Requesting publication of SIR after Examiner action	1,840 / 1,840
Fee Code 115	Fee Code 215	Extension for response within first month	110 / 55
Fee Code 116	Fee Code 216	Extension for response within second month	390 / 195
Fee Code 117	Fee Code 217	Extension for response within third month	890 / 445
Fee Code 118	Fee Code 218	Extension for response within fourth month	1,390 / 695
Fee Code 128	Fee Code 228	Extension for response within fifth month	1,890 / 945
Fee Code 119	Fee Code 219	Notice of Appeal	310 / 155
Fee Code 120	Fee Code 220	Filing a brief in support of an appeal	310 / 155
Fee Code 121	Fee Code 221	Request for oral hearing	270 / 135
Fee Code 138	Fee Code 138	Petition to institute a public use proceeding	1,510 / 1,510
Fee Code 140	Fee Code 240	Petition to revive - unavoidable	110 / 55
Fee Code 141	Fee Code 241	Petition to revive - unintentional	1,240 / 620
Fee Code 142	Fee Code 242	Utility issue fee (or reissue)	1,240 / 620
Fee Code 143	Fee Code 243	Design issue fee	440 / 220
Fee Code 144	Fee Code 244	Plant issue fee	600 / 300
Fee Code 122	Fee Code 122	Petitions to the Commissioner	130 / 130
Fee Code 123	Fee Code 123	Petitions related to provisional applications	50 / 50
Fee Code 126	Fee Code 126	Submission of Information Disclosure Stmt	180 / 180
Fee Code 581	Fee Code 581	Recording each patent assignment per property (times number of properties)	40 / 40
Fee Code 146	Fee Code 246	Filing a submission after final rejection (37 CFR 1.129(a))	710 / 355
Fee Code 149	Fee Code 249	For each additional invention to be examined (37 CFR 1.129(b))	710 / 355
Fee Code 179	Fee Code 279	Request for Continued Examination (RCE)	710 / 355
Fee Code 169	Fee Code 900	Request for expedited examination of a design application	900 / 900

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

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SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature		Date	01/25/01		

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